

SPO ALERT

Date: 9/13/2019

To: State Agencies and Cooperative

Members From: State Procurement Office

Re: Contract Award – Statewide Municipal/Financial Advisor Services

New Contracts:

Contract Name	Contract Number	Vendor
Statewide Municipal/Financial Advisor Services	CTR046447	Wedbush Securities Inc.
Statewide Municipal/Financial Advisor Services	CTR046446	Stifel Nicolaus Co. Inc.
Statewide Municipal/Financial Advisor Services	CTR046444	RBC Capital Markets Corp.
Statewide Municipal/Financial Advisor Services	CTR046443	Piper Jaffray & Co.
Statewide Municipal/Financial Advisor Services	CTR046442	PFM Financial Advisors LLC
Statewide Municipal/Financial Advisor Services	CTR046439	Hilltop Securities Inc.

The Above Contracts replace the following contracts:

Contract Name	Contract Number	Vendor
Financial Advisor Services	ADSPO18-197934	PFM Financial Advisors LLC
Financial Advisor Services	ADSPO17-169251	Stifel Nicolaus and Co. Inc.
Financial Advisor Services	ADSPO14-080042	Wedbush Securities Inc.
Financial Advisor Services	ADSPO14-080041	Western Financial Group LLC
Financial Advisor Services	ADSPO14-080040	Piper Jaffray & Co.
Financial Advisor Services	ADSPO14-080039	RBC Capital Markets Corp.
Financial Advisor Services	ADSPO14-080038	Fieldman Rolapp & Associates Inc.
Financial Advisor Services	ADSPO14-080036	First Southwest Co.
Financial Advisor Services	ADSPO14-080034	Public Financial Management Inc.

Description: Delivery of Municipal/Financial Advisory Services and related analyses and services by qualified contractor. The Contractor and qualified personnel can perform services in conjunction with the planning, development, issuance, and management of financing transactions. This may include items such as direct, conduit and pooled bonds, notes, lease-purchase agreements, sold on a taxable, tax exempt, public or private basis, or other financing agreements / transactions.

Brief Summary: The above stated contract effective date is 9/12/2019. It can be used by Statewide Agencies and Co-Ops.

Vendor Information:

Vendor ID	Vendor Name	Contact	Vendor Email
IV0000017804	Wedbush Securities Inc.	Jim Stricklin	Jim.strickling@wedbush.com
IV0000012860	Stifel Nicolaus and Co. Inc.	Stein Randie	rstein@stifel.com
IV0000017058	RBC Capital Markets Corp.	Kathy Salcido	Kathy.salcido@rbccm.com
IV0000017298	Piper Jaffray & Co.	William Davis	William.c.davis@pjc.com
IV0000013608	PFM Financial Advisors LLC	Darren Hodge	hodged@pfm.com
IV0000030210	Hilltop Securities Inc.	Karyl Guthery	Karyl.guthery@hilltopsecurities.com

If there are any questions, please feel free to contact E. Yanneth Montes, *Statewide Procurement Manager* at (602) 542-7165 or yanneth.montes@azdoa.gov.